



THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: Ying Chen et al. Examiner: Not yet assigned
Serial No.: 09/839,794 Group Art Unit: 2152
Filed: April 19, 2001 Docket: ARC920000088US1
Title: METHOD AND APPARATUS FOR PROVIDING A SINGLE SYSTEM
IMAGE IN A CLUSTERED ENVIRONMENT

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on October 9, 2001.

By: 

Name: George H. Gates

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

Ying Chen et al.

By their attorneys,

GATES & COOPER LLP
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: October 9, 2001

GHG/sjm

By: _____


George H. Gates

Reg. No.: 33,500

Form 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION	Docket Number: ARC920000088US1	Application Number: 09/839,794
	Applicant: Ying Chen et al.	
	Filing Date: April 19, 2001	Group Art Unit: 2152

U.S. PATENT DOCUMENTS							
EXAMINER INITIALS	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	6,243,763 B1	06/05/01	Brodsky et al.				
FOREIGN PATENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Cisco local director 400 Series. In http://www.cisco.com/warp/public/cc/pd/cxsr/400/index.shtml , 2 pgs., 2001					
		T. Brisco. DNS support for load balancing. In http://andrew2.andrew.cmu.edu/rfc/rfc1794.html , Network Working Group, RFC 1794, April, 1995, 6 pgs.					
		O. Damani, P. Chung, and Y. Huang. ONE-IP: Techniques for hosting a service on a cluster of machines. In Proceeding of 6 th International World Wide Web Conference, 1997.					
		D. Dias, W. Kish, R. Mukherjee, and R. Tewari. A scalable and highly available server. In COMPCON, 1996.					
		E. Anderson, D. Patterson, and E. Brewer. The magicrouter, an application of fast packet interposing. In http://www.cs.berkeley.edu/~eanderson/magicrouter/osdi96-mr-submission.ps , May 17, 1996.					
		K. Egevang, Cray Communications, and P. Francis. The IP Network Address Translator (NAT). In http://www.andrew2.andrew.cmu.edu/rfc/rfc1631.html , Network Working Group, May, 1994, 9 pgs.					
		T. Kwan, R. MaGrath, and D. Reed. NCSA's World Wide Web server: Design and performance, 1995.					
		C. Yoshikawa, B. Chun, P. Eastham, A. Vahdat, T. Anderson, and D. Culler. Using smart clients to build scalable services. In Proceedings of Usenix, 1997.					
		T. Maufer, C. Semeria. Introduction to IP Multicast Routing. In http://www.kohala.com/start/papers.others/draft-ietf-mboned-intro-multicast-03.txt , Network Working Group, July, 1997, 58 pgs.					
		M. Snyder. Beyond Technology. Clustering. In http://www.as400.ibm.com/beyondtech/clustering.htm , 2000, 12 pgs.					
		Whitepapers: Introduction. Gigabit Ethernet Overview. In http://www.gigabit-ethernet.org/technology/whitepapers/gige_0698/intro.html , May, 1999, 30 pgs.					
		Cisco Press. Internetworking Basics. In http://www.ieng.com/cpress/cc/td/cpress/fund/ith/ith01gb.htm , 21 pgs., 1998					
		Linux Network Address Translation. In http://www.linas.org/linux/load.html , November, 1998, 7 pgs.					
		Parallel I/O Archive at Dartmouth, What's new? In http://www.cs.dartmouth.edu/pario/ , 2000, 3 pgs.					

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	